U:S. Department of Justice Washington, DC 20530

# Exhibit A To Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

Privacy Act Statement. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the Administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .49 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently.				
1. Name and address of registrant		2. Registration No.		
Dutko Worldwide, LLC 412 First Street SE Suite 100 Washington, DC 20003		5693		
3. Name of foreign principal	4. Principal address of foreign principal			
Democratic Transformation Foundation	Lettstrasse 10 POB 1105 FL-9490 Vaduz, Lichtenstein			
5. Indicate whether your foreign principal is one of the following:				
☐ Foreign government				
Foreign political party				
Foreign or domestic organization: If either, check on	e of the following:			
☐ Partnership ☐	Committee			
☐ Corporation ☐	Voluntary group			
★ Association	Other (specify): Non - Profit Foundat	ion		
Individual-State nationality				
6. If the foreign principal is a foreign government, state:		<del></del>		
a) Branch or agency represented by the registrant.				
b) Name and title of official with whom registrant deals.				
7. If the foreign principal is a foreign political party, state:				
a) Principal address.				
b) Name and title of official with whom registrant deals.				
c) Principal aim.				

8. If the foreign principal is not a foreign government or a foreign political party,		ı
a) State the nature of the business or activity of this foreign principal		
The Democratic Transformation Foundation is focused on helping new democracies build a realistic development direct investment promotion, multilateral accession and encouraging US-Ukraine relations.	elopment strateg	y, including
		, ,
b) Is this foreign principal	•	
Supervised by a foreign government, foreign political party, or other foreign principal	Yes 🔲	No 🗹
Owned by a foreign government, foreign political party, or other foreign principal	Yes 🗌	No 🗹
Directed by a foreign government, foreign political party, or other foreign principal	Yes 🗹	No 🗌
Controlled by a foreign government, foreign political party, or other foreign principal	Yes 🗌	No 🔽
Financed by a foreign government, foreign political party, or other foreign principal	Yes 🗌	No 🔽
Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes 🛮	No 🗌
9. Explain fully all items answered "Yes" in Item 8(b). (If additional space is needed, a full insert page must	be used.)	· · · · · · · · · · · · · · · · · · ·
Dr. Alexandr Abfalter, a banker from Lichtenstein, is on the board of the Foundation and directs the foundation	,	
The foundation is a not-for-profit organization which is funded by donations by private sector individuals		
10. If the foreign principal is an organization and is not owned or controlled by a foreign government, foreign principal, state who owns and controls it.	n political party	or other foreig
DTF is a foundation, managed by a board of directors, led by Dr. Alexandr Abfalter of Lichtenstein.		
DIF is a foundation, managed by a board of directors, led by Dr. Alexandr Abraher of Elemenstein.		
Date of Exhibit A Name and Title Signature	/	
4.2.07 Mark Irion, CEO	•	

U.S. Department of Justice Washington, DC 20530

# Exhibit B To Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS: A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. One original and two legible photocopies of this form shall be filed for each foreign principal named in the registration statement and must be signed by or on behalf of the registrant.

Privacy Act Statement. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the Registration Unit in Washington, DC. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the Administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .33 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, Registration Unit, Criminal Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

Management and Budget, Washington, DC 20503.		
1. Name of Registrant	2. Registration No.	
Dutko Worldwide, LLC 412 First Street SE, Suite 100 Washington, DC 20003	5693	
3. Name of Foreign Principal		
Democratic Transformation Foundation		
	Check Appropriate Boxes:	
4. The agreement between the registrant and the abo a copy of the contract to this exhibit.	ve-named foreign principal is a formal writ	ten contract. If this box is checked, attach
5.  There is no formal written contract between the reprincipal has resulted from an exchange of correspondent copy of any initial proposal which has been adopted by re	ce. If this box is checked, attach a copy of	eement with the above-named foreign all pertinent correspondence, including a
6. The agreement or understanding between the reginexchange of correspondence between the parties. If this oral agreement or understanding, its duration, the fees and	box is checked, give a complete description	

- 7. Describe fully the nature and method of performance of the above indicated agreement or understanding.
- · Please see attached contract

8. Describe fully the	activities the registrant engages in or propose	s to engage in on behalf of the above foreign principal.	
• Engaging key deci	sion-makers in the United States and Europe a sion-makers in the United States and Europe a of advocates and "validators" for the progres writers and "thought leaders" about the progres	bout the steps each could make to assist Ukraine and its reform a s and challenges in Ukraine;	agenda;
9. Will the activities footnote below?	on behalf of the above foreign principal include Yes 🗷 No 🗆	de political activities as defined in Section 1(0) of the Act and in	the
If yes, describe all	such political activities indicating, among oth nployed to achieve this purpose.	er things, the relations, interests or policies to be influenced together.	ether w
Please see attached	contract for scope of work to be performed		
Date of Exhibit B	Name and Title  Mark Irion	Signature	
4.2.07	CEO		
	1	WILLIAM	

### **Dutko** Worldwide

Building Public-Private Partnerships

#### CONSULTING SERVICES AGREEMENT

This Consulting Services Agreement (the "Agreement") is between Democratic Transformation Foundation (the "Client") a Liechtenstein entity with its principal place of business at POB 4, Landstr. 140, FL-9494 Schann, Liechtenstein and Dutko Global Advisors, LLC, (the "Firm") a wholly owned subsidiary of Dutko Worldwide, LLC, a Delaware limited liability company with its principal place of business at 412 First Street, SE, Washington, D.C. 20003. For purposes of this Agreement, the Client and the Firm are referred to collectively as "the Parties."

#### **AGREEMENT**

#### 1. Services.

Under the terms of this Agreement, the Firm will provide to the Client professional consulting services including, but not necessarily limited to:

- Educating key decision-makers in the United States and Europe about the progress and challenges in Ukraine;
- Engaging key decision-makers in the United States and Europe about the steps each could make to assist Ukraine and its reform agenda;
- Developing a cadre of advocates and "validators" for the progress and challenges in Ukraine;
- Engaging reporters, writers and opinion leaders about the progress and challenges in Ukraine.

#### Representations by Client.

Client represents that: it will comply with all laws of the United States, including Foreign Agents Registration Act and Foreign Corrupt Practices Act, applicable to performance of this Agreement.

#### 3. Obligations of the Firm.

a. The Firm will perform its responsibilities under this Agreement in an ethical and businesslike manner.

202.484.4884

b. The Firm will submit all reports required of it by United States law as a fax 202.484.0107 result of its performance of the Agreement.

Suite 100 Washington, DC 20003

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USA

b. The Firm will submit all reports required of it by United States law as a result of its performance of the Agreement.

#### 4. Obligations of Client.

- a. Assisting with Government Disclosures by the Firm. Client recognizes that the Firm may periodically be required to file Federal and State lobbying disclosure and registration forms in accordance with United States law or regulation which may require Client's signature. Client agrees to cooperate in the Firm's efforts to file these disclosures, including, but not limited to, providing timely signatures on disclosure forms provided by the Firm.
- b. Expenses. Client authorizes the Firm to incur and receive reimbursement for reasonable out-of-pocket expenses related to the Firm's performance of the Agreement, including: travel costs; expenses related to attending meetings and conferences relevant to the Client's business interests; long distance telephone charges; postage; photocopy and facsimile charges; expenses related to the processing and filing of lobbying disclosure and registration forms and such other out-of-pocket expenses that are reasonably necessary to the Firm's performance of the Agreement. Business development expenses may include the expenses accrued one month prior to the initiation of this agreement. Reimbursable expenses are separate from and shall not be considered when determining the Firm's compensation under the Agreement.

#### 5. Compensation.

- a. Monthly Retainer. Client agrees to pay the Firm, as compensation for services performed under this Agreement, a Monthly Retainer fee of USD \$35,000.
- b. <u>Payment Schedule</u>. (i) Payment of the Monthly Retainer and Expenses is due thirty (30) calendar days after Client's receipt of the Firm's invoice.

#### 6. Confidential Information.

The Firm and the Client agree that they will hold in confidence the content of this Agreement and any information whatsoever concerning the activities or business of the other, unless such disclosure is (a) mutually agreed upon in writing; (b) reasonably required in connection with the fulfillment of the disclosing party's obligations hereunder, and then is made only to the minimum extent necessary to carry out such obligations; (c) information which had generally become known to the

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public other than through the disclosure thereof by the disclosing party; (d) to attorneys, accountants or other professional advisors of the disclosing party under confidentiality agreements substantially identical to this one; or (e) pursuant to compulsory legal process.

#### 7. Duration and Termination.

- a. This Agreement shall commence on August 1, 2006, and continue through July 31, 2007. This Agreement shall automatically renew and continue on a month-to-month basis until terminated by either Party pursuant to the terms herein.
- b. Either Party may terminate this Agreement for cause upon the other Party's breach or default of any provision of this Agreement unless such breach or default is corrected or cured within fifteen (15) days after receipt of written notice thereof from the other Party.
- c. Either Party may terminate this Agreement for cause immediately, in the event that the other Party: (i) is the subject of a voluntary or involuntary petition in bankruptcy; (ii) is or becomes insolvent; or, (iii) ceases to pay its obligations or conduct business in the normal course.

#### 8. <u>Limitation of Liability</u>.

IN NO EVENT SHALL EITHER PARTY BE LIABLE FOR ANY INDIRECT, SPECIAL, INCIDENTAL, PUNITIVE OR CONSEQUENTIAL DAMAGES, EVEN IF IT HAS ADVANCE NOTICE OF THE POSSIBILITY OF SUCH DAMAGES. IN NO EVENT SHALL THE FIRM BE LIABLE TO CLIENT IN AN AMOUNT IN EXCESS OF COMPENSATION PAID TO THE FIRM DURING THE PRECEDING TWELVE MONTHS BEFORE THE DETERMINATION OF LIABILITY.

#### 9. Notices.

All notices required or authorized by this Agreement shall be given in writing and shall contain a reference to this Agreement. All such notices shall be deemed effective when they are either served by personal delivery, or sent, postage prepaid, by registered or certified mail to the receiving Party at the following address:

If to the Firm:

Dutko Global Advisors, LLC 412 First Street, SE Washington, DC 20003 Attn: Bob Busick



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If to Client:

**Democratic Transformation Foundation** 

POB-4, Landstr. 140

FL-9494 Schann, Liechtenstein

Attn: Dr. Alexander Abfalterer

2490 Vactur

or such other address as either Party shall hereafter designate in writing to the other.

#### 10. Waiver.

The failure of either Party to enforce at any time or for any period of time any provision hereof shall not be construed to be a waiver of such provision of the right' thereafter to enforce each and every provision. No waiver by either Party to this Agreement, either express or implied, of any breach of any term, condition or obligation of this Agreement shall be construed as a waiver of any subsequent breach of that term, condition or obligation or of any other term, condition or obligation of this Agreement.

#### 11. Assignment.

Neither this Agreement nor any right or obligation hereunder may be assigned or transferred in whole or in part by either Party without the prior written consent of the other Party. No attempt to assign or transfer the Agreement in violation of this provision shall be valid or binding.

#### 12. Relationship of Parties.

The Firm is an independent contractor. All persons employed by the Firm in the performance of the Agreement shall perform under the control and direction of the Firm and shall under no circumstances be considered employees of the Client.

#### 13. Arbitration.

The Firm and the Client agree that in the event a dispute arises under the terms of this agreement, the parties will use the arbitration services of the American Arbitration Association (AAA), and abide by the District of Columbia (United States) law regarding civil arbitration and will be bound by the decision of the arbitrator.

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### 14. <u>Integration and Modification</u>.

This document, including any attached Schedule(s), contains the entire agreement between the Parties relating to the subject matter hereof. All prior agreements and all prior negotiations are superseded by this Agreement. This Agreement, including any Schedule(s), may not be modified except by a written document signed by an authorized person on behalf of each Party.

Democratic Transformation Foundation	Dutko Worldwide, LLC
By: Alex	Ву: / Д
Dr. Alexander Abfalterer	Michelle Guillermin
Member, Foundation Council	Chief Financial Officer
Date: 8/24/06	Date: 7/11/06